Gibraltar Director of Civil Aviation

# Aviation Safety Regulation

Procedure 01

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# **Revision History**

Revision	Item	Date
1	Initial Issue	29 January 2009
2	Revision	30 November 2015
3	Revision	06 December 2022
4	Minor amendments Addition of excluded CAPS	01 October 2023
5	Appendix A	01 October 2024

### Introductory Notes

- 1. DCA Policy 01 identified the Requirements to be applied in determining the granting, issuing or validating of a licence, certificate, approval, permission or authorisation as being, where applicable, those promulgated by the UK Civil Aviation Authority (CAA) in their Civil Aviation Publications (CAPs).
- 2. This publication DCA Procedure 01 describes how those Requirements are promulgated.

#### Requirements

- 3. The Requirements for obtaining any licence, certificate, approval, permission or authorisation set out in Gibraltar legislation, from the DCA, will be the same as those of the United Kingdom, which are published as Civil Aviation Publications and Supporting Notices unless otherwise stated.
- 4. Assessments of compliance against these Requirements, will be commissioned by the DCA from parties familiar with the United Kingdom's Requirements when such is considered necessary by the DCA.
- 5. It is acknowledged that interpretation of CAPs and Supporting Notices will be required on occasion to accommodate the different regulatory regime, laws and Government policies which apply in Gibraltar. The DCA will be the interpreter for this purpose.

#### Civil Aviation Publications

- 6. The CAA publishes CAPs in support of its powers contained in the (UK) Air Navigation Orders (ANO).
- 7. CAPs provide details of the means of compliance with the UK ANO that are acceptable to the CAA and the United Kingdom Government. They describe the way in which aircraft operators, aviation personnel and providers of services can gain licences, certificates, approvals, permissions or authorisations. In addition, they set out the process through which these are maintained to ensure that adequate levels of safety and internationally agreed standards are met. They are not intended to supersede or conflict with statutory requirements, and so the obligation to comply with statutory requirements remains. The appropriate authority may accept an alternative means of compliance, provided that the applicant can demonstrate that the level of safety will not be reduced below that intended by the statutory requirement.
- 8. CAPs are live documents and will change over time in response to a variety of factors. This may necessitate re-approval in some cases, but if this occurs a reasonable period of notification will normally be given. The CAPs and other Supporting Notices can be downloaded from the CAA website. Care should always be taken to ensure that the latest version of any document is used.
- 9. In order to achieve compliance with ICAO standards and recommended practices all CAPS are applicable in Gibraltar unless specifically stated as being excluded in Gibraltar by the DCA. Any Excluded CAPS will be listed in Annex 1 of this document.

#### Information Notices

10. The CAA publish Information Notices which are issued to provide advice, guidance and

information on standards, practices and procedures necessary to support the CAPs. They are not in themselves law but may amplify a provision of the UK ANO or provide practical guidance on meeting a requirement contained in the CAPs. They also provide a means of communicating with the aviation industry on regulatory matters more generally. Where a CAP has not been excluded in Gibraltar, any associated information notices can be considered as effective in Gibraltar unless specifically excluded by the DCA. Any excluded Information Notices will be listed in Annex 1 of this document.

# Acceptable Means of Compliance (AMC) and Guidance Material (GM)

11. The CAA publish AMC and GM to assist service providers and operators in interpreting legislation or material published and describing how compliance can be achieved. Where the material referred to by the AMC or GM has not been excluded in Gibraltar the AMC or GM can be applied to assist service providers and operators to achieve compliance.

#### DCA Publications

12. From time to time the DCA will publish additional Requirements and other information to industry. These will be promulgated as Gibraltar policies and procedures and will be made available on this web page.

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#### Annex A to DCA Procedure 01

1<sup>st</sup> October 2024

#### **Excluded CAPS and Information Notices**

CAP553: BCAR Section B - Airworthiness Procedures where the CAA does not have Primary Responsibility for Type Approval of the Product

CAP777D: ATC Surveillance Minimum Altitude Charts in UK Airspace: ATCSMAC Approval Spreadsheet

CAP1004: SES Market Conditions for Terminal Air Navigation Services in the UK

CAP1117: Heathrow Airport Operational Freedoms Trial: The Civil Aviation Authority's supplementary views on Heathrow Airport's end-of-trial report

CAP1132: Approach to terminal air navigation services regulation in RP2 - a consultation

CAP1149: Noise monitor positions at Heathrow, Gatwick and Stansted Airports

CAP1157: CAA's decision on the approach to the regulation of terminal air navigation service in RP2

CAP1210: The Airport Charges Regulations 2011. CAA Annual Report 2013-2014

CAP1409: Access to the ground handling market at UK airports: a review of the CAA's approach – Request for information

CAP1477: CAA Statutory Charges 2017/18 consultation document

CP1455: London Helicopter Crossing Statistics

CP1456: Graphical Summary of London Helicopter Crossing Statistics

CAP 1496: New Aviation Reporting Portal

CAP1616: Guidance on Airspace Change Process for Temporary and Trial Airspace Change Proposals (entire set)

CAP1711 Airspace Modernisation Strategy 2023-2040 (entire set)

CAP1780: Implementation Procedures for Airworthiness (IPA) between the Government of the United States of America and the Government of the United Kingdom of Great Britain and Northern Ireland for the promotion of aviation safety (and all subsidiaries)

CAP1781: DVOR/DME/NDB Rationalisation: Guidance for the Use of RNAV Substitution

CAP1783: Further Working Arrangement between Transport Canada Civil Aviation and United Kingdom Civil Aviation Authority on the Continuity of Validation Projects (and all subsidiaries) CAP1787WA: Working Arrangement between The Civil Aviation Bureau of Japan (JCAB) and the UK CAA.

CAP1868: A Unified Approach to the Introduction of UAS Management

CAP1927: Comment response document: Conducting paid-for initial pilot training in amateur-built UK National Permit to Fly Microlights

CAP1928: Comment response document: Conducting paid-for initial pilot training in UK National Permit-to-Fly aeroplanes

CAP1930: Testing Novel Technology in UK Airspace: A Guide for Innovators

CAP1970: CAA assessment of the criteria for call in by the Secretary of State of London Oxford Airport's July 2020 airspace change proposal

CAP1985: UK General Aviation opportunities after leaving EASA – a consultation

CAP2009: UK-EU transition: Airworthiness flowcharts

CAP2013: Air Navigation Order 2020 Amendment – Guidance for unmanned aircraft system users

CAP2082FWA: Further Working Arrangement

CAP2102FWA: Working Arrangement between the Icelandic Transport Authority and the Civil

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Aviation Authority of the United Kingdom for the Promotion of Aviation Safety

CAP2118: FRTOL Examiner Manual

CAP2128: Aircraft movements, fleet mix and noise quota usage at Gatwick, Heathrow and Stansted

CAP2130: Regulatory sandbox guidance for the Future Flight Challenge

CAP2146: UK General Aviation opportunities after leaving EASA: Consultation Response Document

CAP2156a: Airspace Change Masterplan – CAA Acceptance Criteria

CAP2156b: Airspace Change Masterplan – assessment framework

CAP 2238: Detect and Avoid Challenge: Sandbox Announcement

CAP2258: Airspace Modernisation Strategy Support Fund: Terms of Reference

CAP 2270: Consultation: UK Cost Shared Flights

CAP 2296: CAA Response to 2021 Government Consultation on the Future of Transport

Regulatory Review: Future of Flight

CAP2297: Human Factors: CAA Action Plan 2024-2026

CAP2312A01: Addendum to CAP2312A - Airspace Change Masterplan Iteration 2

CAP2319: eVSLG meeting minutes November 2021

CAP2325: Guidance for FRTOL Practical Test Candidates

CAP2336: CAA response to London Luton Airport Limited's March 2022 Statutory

Consultation

CAP2391: Comment Response Document: UK Cost Sharing Flights

CAP2491: Interim Airport Accessibility Report

CAP2492: CAP1616 Review: Consultation Guidance and Options

CAP2530: Airspace Fundamentals and the Future of Flight

CAP2536: UK National Aviation Safety Roadmap

CAP2553: NR23 Price Control Review (entire set)

CAP2564: Airspace Classification Review: Manchester Low-Level Route 2023

CAP2567: CAP1616 Review: Consultation Response Document

CAP2582: NATS (En-Route) PLC Major Incident Preliminary Report (entire set)

CAP2594: Terms of Reference for the Independent Review of NATS (En-Route) PLC's Flight

Planning Sub-system Failure on 28<sup>th</sup> August 2023

CAP2607: NATS En-Route Flight Planning System Failure (Entire Set)

CAP2617: Consultation on Statement of Policy on Penalties under Chapter 1 of Transport Act 2000

CAP2957: NR23 Price Control (entire set)

CAP2966: Speaking a Common Language: A terminology Framework for AI

CAP2970: Building Trust in AI: 5 Principles for AI Autonomy

CAP2971: Fair and Equitable Distribution Interim Report – Distribution of Aircraft Noise Gatwick Airport

CAP2980 Economic regulation of Heathrow airport: H7 final issues

CAP2981: Independent Review of NATS (En-Route) PLC's Flight Planning System Failure on

28 August 2023 – Interim Report

CAP2989: Temporary or Prime ACP for BVLOS – Additional Guidance

CAP2982: Hydrogen Challenge Survey

CAP2983: Statement of Policy on Penalties under Chapter 1 of Transport Act 2000 (entire set)

CAP2992: Manchester Low Level Route (entire set)

CAP3000: Setting Future Price Controls – Lessons Learnt from the Review of Approach

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CAP3001: Economic regulation of Heathrow airport: H7 final issues – decision

CAP3012: Economic regulation of Gatwick Airport Limited: second consultation on extending the current commitments

CAP3013: Aircraft movements, fleet mix and noise quota usage at Gatwick, Heathrow and Stansted

CAP3019: AI in Aviation: A Technology Outlook

CAP3022: Strategy for AI Survey 2024

CAP3031: CAA Guidance on Constructive Engagement process for the next Heathrow price

control review

CAP3035: Annual Safety Review 2023